

## Introduction

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Washington Square Capital Management LLC (“WSCM”) is an investment adviser registered with the Securities and Exchange Commission (“SEC”). We believe it is important for you to understand how fees and services of advisory and brokerage firms differ so you can determine which type of account or service is right for you. There are various free and simple tools available to evaluate advisory firms and financial professionals at [www.investor.gov/crs](http://www.investor.gov/crs). This website also provides educational materials about investment advisers, broker-dealers, and investing in general.

## What investment services and advice can you provide me?

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As an investment adviser, WSCM offers investment advisory services to retail investors for an ongoing fee calculated on the value of your account. Our advisory services include investment supervisory services, where we start each client relationship with a series of consultative sessions to discuss your financial situation, focusing on your investment goals, your risk tolerance, your time horizon, any investment preferences you have, and our investment strategies. We then tailor an investment policy and portfolio for you. We will regularly monitor your investments on an ongoing basis as part of our standard services. Please refer to Item 4 of our Form ADV Part 2A for more detailed information.

We manage accounts on a discretionary basis, which means we do not need to call you when buying or selling investments in your account. You will sign an investment management agreement and limited power of attorney giving us this trading authority. This agreement will remain in place until you or we terminate our advisory relationship. We also manage accounts on a non-discretionary basis, which means that you make the ultimate decision regarding buying or selling investments in your account. Please refer to Item 16 of our Form ADV Part 2A for further information.

We do not limit our advice and services to proprietary products and a limited menu of products or investments.

Our minimum account size requirement is \$100,000, negotiable at our discretion.

### *Questions to Ask Us:*

- Given my financial situation, should I choose an investment advisory service? Why or why not?*
- How will you choose investments for me?*
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

## What fees will I pay?

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Fees and costs affect the value of your account over time. Our fees are negotiable, but only at our discretion, and vary depending on certain factors. Please ask Louis or Subir to give you personalized information on the fees and costs you will pay. We charge an annual management fee based on the market value of household assets under management. We charge 1.5% for assets between 0-\$100,000, 1.25% for assets between \$100,001-\$500,000, 1% for assets between \$1 million - \$5 million. Assets over \$5 million are charged a negotiated rate. You will pay this ongoing management fee in arrears in four quarterly installments: at the end of each quarter, we charge an amount to one-quarter of the calculated annual fee based on the average daily balance. Our incentive is to increase the value of your account over time, which will increase our fees over time.

The broker-dealer (“custodian”) that holds your assets may charge you a transaction fee when we buy or sell an investment for you. These transaction fees are in addition to our advisory fee. You may also pay fees to the custodian for certain investments and/or for maintaining your account. Many investments, such as mutual funds

and exchange traded funds, charge additional fees (called an “expense ratio”) that will reduce the value of your investments over time.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce the amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Please refer to Item 5 of our Form ADV Part 2A for further information.

*Question to Ask Us:*

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

## What are your legal obligations to me when acting as my investment adviser?

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When we act as your investment adviser, we have to act in your best interest and not put our interests ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Please read the next paragraph to understand what this means.

## How else does your firm make money and what conflicts of interest do you have?

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WSCM’s revenue comes solely from the advisory fees we collect from our clients’ accounts each quarter. We have an incentive to increase the amount of investments we manage and that could bias our advice. For example, we might be in favor of keeping money in your managed portfolio rather than recommending that you pay off debt or make investments that we do not manage. Our standard advice, however, will be that mortgages or other debt that carries an interest rate higher than the safe fixed-income investments in your portfolio should be paid down or avoided.

*Question to Ask Us:*

- How might your conflicts of interest affect me, and how will you address them?*

## How do your financial professionals make money?

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Louis and Subir receive on a quarterly basis a portion of the advisory fees that WSCM collects from the accounts they manage.

## Do you or your financial professionals have legal or disciplinary history?

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No, our firm and our financial professionals do not have any legal and/or disciplinary history. Please visit [www.investor.gov/crs](http://www.investor.gov/crs) for a free search tool to research our firm and our financial professionals.

*Questions to Ask Us:*

- As a financial professional, do you have any disciplinary history? For what type of conduct?*

## Additional Information

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You can find additional information about our investment advisory services on the SEC’s website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #150335. You may also contact our firm at 646-450-9772 to request a current copy of our Form ADV Part 2 or an up-to-date Form ADV Part 3, this relationship summary.

*Questions to Ask Us:*

- Who is my primary contact person?*
- Is he or she a representative of an investment adviser or a broker-dealer?*
- Who can I talk to if I have concerns about how this person is treating me?*